

Third harmonic scattering in liquids

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Third harmonic scattering (THS) from liquids has been observed and analyzed in several recent papers. It is considered to be analogous to second harmonic scattering (hyper-Rayleigh scattering) and to provide a means for measuring the second hyperpolarizability tensor of molecules in a liquid. However, the observed signal for a pure solvent is in fact mainly due to coherent third harmonic generation followed by Rayleigh scattering and direct incoherent THS (direct THS) makes only a small contribution (<2% for parallel polarized THS). This invalidates the internal reference method and the polarization analysis that has been applied for pure liquids. Theoretical comparison for the two processes, extensive experimental measurements for CCl₄ liquid, SiO₂ glass, and CCl₂F₂ gas and survey measurements for D₂O, CDCl₃, CD₃CN, and (CD₃)₂SO liquid, are presented. *Published by AIP Publishing.* <https://doi.org/10.1063/1.5051450>

I. INTRODUCTION

Third harmonic scattering (THS) is the nonlinear optical process where a laser beam at frequency ω produces scattered light at frequency 3ω . THS has only recently been explored as an experimental technique to measure the molecular second hyperpolarizability tensor $\gamma_{\alpha\beta\gamma\delta}(-3\omega; \omega, \omega, \omega)$.¹⁻⁴ It is considered to be analogous to the lower-order incoherent second harmonic scattering (SHS) process, also called hyper-Rayleigh scattering (HRS), which is widely used to measure the molecular first hyperpolarizability tensor $\beta_{\alpha\beta\gamma}(-2\omega; \omega, \omega)$.⁵⁻⁸ The advantages of incoherent scattering measurements over coherent wave mixing and harmonic generation techniques are potential access to more hyperpolarizability tensor components by polarization analysis and great experimental simplicity.

THS has been used to measure molecular hyperpolarizability in pure liquids and chromophore solutions.¹⁻³ Relative hyperpolarizability determinations are simple when the intensity of incoherent harmonic scattering from each component of a solution is linear in molecular number density and quadratic in molecular hyperpolarizability. However, absolute calibration of the hyperpolarizability from THS measurements is problematic. In contrast, accurate gas-phase second hyperpolarizabilities are available for many atoms and molecules.⁹ A possible way to produce an accurate absolute calibration for the hyperpolarizability of the molecules in a liquid THS reference sample is by comparison with THS for a gas sample.¹⁰ When this was attempted, it became clear that THS from the sample was not incoherent THS, but was instead coherent third harmonic generation (THG) followed by Rayleigh scattering (RS). This has important implications for the interpretation of THS measurements. In the following, first, the theory will be outlined and then the results of THS

measurements for CCl₄ liquid, SiO₂ glass, and CCl₂F₂ gas will be presented.

II. THEORY

Consider THS produced by a focused, linear polarized, single mode, fundamental Gaussian laser beam with a confocal parameter z_0 in a medium with refractive index n_1 . The beam is described by¹¹⁻¹³

$$E_1(r, z, t) = E_0(1 + i\xi)^{-1} \exp\left[\frac{-k_1 r^2}{2z_0(1 + i\xi)}\right] \exp i(k_1 z - \omega_1 t), \quad (1)$$

where E_0 is the electric field amplitude at the center of the beam waist ($r = z = 0$), $\xi = z/z_0$, $k_1 = n_1\omega_1/c = 2\pi n_1/\lambda_0$, and λ_0 is the vacuum wavelength for light with frequency ω_1 . The radius w_0 of the beam at the waist ($1/e^2$ intensity) is given by the relation $\lambda_0 z_0 = n_1\pi w_0^2$, and the incident beam power is given by the integral of the intensity $\frac{1}{2}n_1\epsilon_0 c |E_1(r, z, t)|^2$ over the beam cross section

$$P_1(z) = \frac{1}{4}\epsilon_0 c \lambda_0 z_0 |E_0|^2. \quad (2)$$

The molecular dipole oscillating at $\omega_3 = 3\omega_1$ induced by the electric field E_1 of the incident beam is^{14,15}

$$\mu_3 = \frac{1}{24}L_1^3 L_3 \gamma E_1^3, \quad (3)$$

where $L_1 = (n_1^2 + 2)/3$ and $L_3 = (n_3^2 + 2)/3$ are the Lorentz local field factors at ω_1 and $\omega_3 = 3\omega_1$ for the medium, and for simplicity, just the rank 0 (scalar) irreducible part of the tensor γ is included. The third harmonic scattered power at $\theta_s = 90^\circ$ scattering angle, from one molecule, is¹⁶

$$\left(\frac{dP_3}{d\Omega}\right)_{\text{THS}} = \frac{1}{2}n_3\epsilon_0 c \left(\frac{\omega_3}{c}\right)^4 \left|\frac{\mu_3}{4\pi\epsilon_0}\right|^2, \quad (4)$$

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and the THS power obtained from the incoherent sum over molecules with number density ρ is

$$\left(\frac{dP_3(r, z)}{d\Omega dV}\right)_{\text{THS}} = \frac{1}{2}n_3\varepsilon_0c\rho\left(\frac{\omega_3}{c}\right)^4(4\pi\varepsilon_0)^{-2}\left(\frac{1}{24}L_1^3L_3\gamma E_0^3\right)^2 \times (1 + \xi^2)^{-3} \exp\left[\frac{-3k_1r^2}{z_0(1 + \xi^2)}\right]. \quad (5)$$

Integrating over the beam cross section gives

$$\left(\frac{dP_3(z)}{d\Omega dz}\right)_{\text{THS}} = \frac{1}{2}n_3\varepsilon_0c\rho\left(\frac{\omega_3}{c}\right)^4(4\pi\varepsilon_0)^{-2}\left(\frac{1}{24}L_1^3L_3\gamma E_0^3\right)^2 \times (1 + \xi^2)^{-2} \frac{\pi z_0}{3k_1}, \quad (6)$$

and integrating once more over z gives the total scattered power

$$\left(\frac{dP_3}{d\Omega}\right)_{\text{THS}} = \frac{1}{2}n_3\varepsilon_0c\rho\left(\frac{\omega_3}{c}\right)^4(4\pi\varepsilon_0)^{-2}\left(\frac{1}{24}L_1^3L_3\gamma E_0^3\right)^2 \frac{\pi^2 z_0^2}{6k_1}. \quad (7)$$

Finally, expressing the total THS power in terms of the incident beam power $P_1 = \frac{1}{4}\varepsilon_0c\lambda_0z_0|E_0|^2$ gives

$$\left(\frac{dP_3}{d\Omega}\right)_{\text{THS}} = \frac{\rho}{6\pi\varepsilon_0^4c^2\lambda_0^2}\left(\frac{\omega_3}{c}\right)^4\left(\frac{1}{24}L_1^3L_3\right)^2\langle\gamma^2\rangle\frac{n_3}{n_1}P_1^3. \quad (8)$$

Contributions from irreducible parts of the γ tensor of all rank are included in the isotropic average of the squared γ tensor, $\langle\gamma^2\rangle$, appearing in the final expression.⁴ The analogous calculation for second harmonic scattering (HRS) mediated by the first hyperpolarizability tensor β gives

$$\left(\frac{dP_2}{d\Omega}\right)_{\text{HRS}} = \frac{\rho}{16\pi\varepsilon_0^3c\lambda_0}\left(\frac{\omega_2}{c}\right)^4\left(\frac{1}{4}L_1^2L_2\right)^2\langle\beta^2\rangle\frac{n_2}{n_1}P_1^2 \quad (9)$$

which differs from the THS result in that it has no z_0 dependence. (The z_0^2 factor exactly cancels when the HRS expression analogous to Eq. (7) is re-expressed in terms of incident power using $P_1 = \frac{1}{4}\varepsilon_0c\lambda_0z_0|E_0|^2$.)

Third harmonic generation (THG) is coherent forward scattering produced by the nonlinear polarization $P_{\text{NL}} = \rho\mu_3$, and the propagating coherent third harmonic wave is the solution of¹³

$$(\nabla^2 - \mu\varepsilon\frac{\partial^2}{\partial t^2})E_3 = \mu\frac{\partial^2}{\partial t^2}P_{\text{NL}}. \quad (10)$$

The paraxial solution of Eq. (10) in the undepleted pump approximation is^{11–13}

$$E_3(r, z, t) = \rho\frac{iz_0}{2n_3\varepsilon_0}\frac{\omega_3}{c}\left(\frac{1}{24}L_1^3L_3\gamma E_0^3\right)(1 + i\xi)^{-1} \times \exp\left[\frac{-k_3r^2}{2z_0(1 + i\xi)}\right] \exp i(k_3z - \omega t) \times \int_{-\infty}^{\xi} d\xi' \frac{\exp(i\Delta k_{13}z_0\xi')}{(1 + i\xi')^2}, \quad (11)$$

where $\Delta k_{13} = (3k_1 - k_3) = 6\pi(n_1 - n_3)/\lambda_0$. The third harmonic beam power is given by the integral of the intensity $\frac{1}{2}n_3\varepsilon_0c|E_3(r, z, t)|^2$ over the beam cross section

$$P_3(z) = \frac{1}{2}n_3\varepsilon_0c\rho^2\left(\frac{z_0}{2n_3\varepsilon_0}\frac{\omega_3}{c}\right)^2\left(\frac{1}{24}L_1^3L_3\gamma E_0^3\right)^2 \times \frac{\pi z_0}{k_3}|I(\Delta k_{13}z_0, \xi)|^2, \quad (12)$$

where

$$I(\Delta k_{13}z_0, \xi) = \int_{-\infty}^{\xi} d\xi' \frac{\exp(i\Delta k_{13}z_0\xi')}{(1 + i\xi')^2}. \quad (13)$$

The magnitude of the integral is in the range $0 \leq |I(\Delta k_{13}z_0, \xi)| \leq 1$ for transparent media with normal dispersion where $\Delta k_{13} \leq 0$. The magnitude of the integral is maximum for $\xi = 0$ and is zero for $\xi = \pm\infty$. The magnitude of the integral at $\xi = 0$, $|I(\Delta k_{13}z_0, 0)|$, is an increasing function of $\Delta k_{13}z_0$ with limiting values $|I(-\infty, 0)| = 0$ and $|I(0, 0)| = 1$. Thus, a laser beam focused in an infinite medium produces a third harmonic beam with maximum power at the beam waist, $z = 0$, and with vanishing power at $z = \pm\infty$.

The integral in Eq. (12) for the THG beam power has z dependence given by

$$|I(\Delta k_{13}z_0, \xi)|^2 = |I(\Delta k_{13}z_0, 0)|^2(1 + \xi^2)^{-c}, \quad (14)$$

where the exponent $c(\Delta k_{13}z_0)$ is a decreasing function of $\Delta k_{13}z_0$, with limiting values $c(-\infty) = 2$ and $c(0) = 1$. The z integral of Eq. (12) for the THG beam power gives

$$\int_{-\infty}^{\infty} dz P_3(z) = \frac{1}{2}n_3\varepsilon_0c\rho^2\left(\frac{z_0}{2n_3\varepsilon_0}\frac{\omega_3}{c}\right)^2\left(\frac{1}{24}L_1^3L_3\gamma E_0^3\right)^2 \times \frac{\pi^2 z_0^2}{2k_3}|I(\Delta k_{13}z_0, 0)|^2 F(\Delta k_{13}z_0), \quad (15)$$

where

$$F(\Delta k_{13}z_0) = \left(\frac{2}{\pi}\right) \int_{-\infty}^{\infty} d\xi \left|\frac{I(\Delta k_{13}z_0, \xi)}{I(\Delta k_{13}z_0, 0)}\right|^2 \quad (16)$$

is an increasing function of $\Delta k_{13}z_0$, with limiting values $F(-\infty) = 1$ and $F(0) = 2$. Figure 1 shows the graphs of the functions $|I(\Delta k_{13}z_0, 0)|$, $c(\Delta k_{13}z_0)$, and $F(\Delta k_{13}z_0)$.

Rayleigh scattering of the third harmonic beam localized near the waist of the incident laser beam produces indirect THS, labelled as THG*RS. The scattered power is

$$\left(\frac{d^2P_3(z)}{d\Omega dz}\right)_{\text{THG*RS}} = P_3(z)R_{90}(\omega_3), \quad (17)$$

where $P_3(z)$ is the THG beam power and R_{90} is the Rayleigh scattering ratio at $\theta_s = 90^\circ$ for linear polarized incident light. Rayleigh scattering is proportional to the mean square thermodynamic fluctuation of the dielectric constant ε , and the Rayleigh ratio R_{90} is given by^{17–19},

$$R_{90}(\omega) = \frac{k_B T \beta_T}{16\pi^2} \left(\frac{\omega}{c}\right)^4 \left[\rho \left(\frac{\partial \varepsilon}{\partial \rho}\right)_T\right]^2 = \frac{k_B T \beta_T}{16\pi^2} \left(\frac{\omega}{c}\right)^4 (n^2 - 1)^2 \left(\frac{n^2 + 2}{3}\right)^2, \quad (18)$$

where β_T is the isothermal compressibility. Applying the Clausius-Mosotti relation^{16,17}

$$\rho\alpha/3\varepsilon_0 = (\varepsilon - 1)/(\varepsilon + 2) = (n^2 - 1)/(n^2 + 2) \quad (19)$$

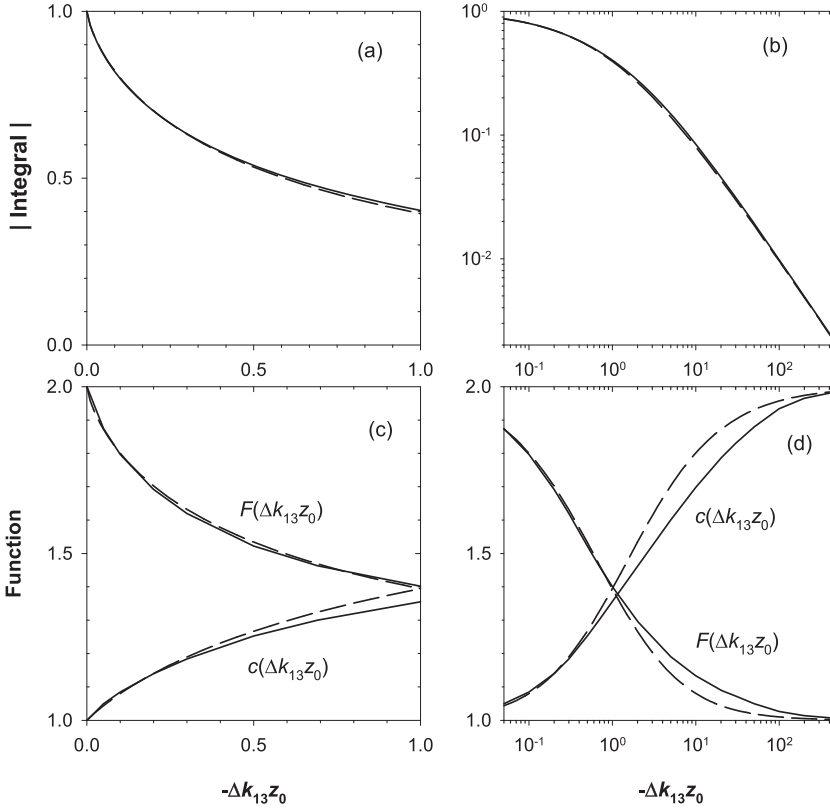


FIG. 1. Graphs of the integral $|I(\Delta k_{13z_0}, 0)|$ for small values (a) and a wider range of values (b) of $|\Delta k_{13z_0}|$, and graphs of the functions $c(\Delta k_{13z_0})$ and $F(\Delta k_{13z_0})$ for small values (c) and a wider range of values (d) of $|\Delta k_{13z_0}|$. The integral $|I(\Delta k_{13z_0}, 0)|$ gives the THG amplitude at the beam waist, $c(\Delta k_{13z_0})$ is the exponent in the stretched Lorentzian function describing the variation of the THG intensity away from the beam waist, and $F(\Delta k_{13z_0})$ is the integral of the normalized THG intensity over the beam path. The dashed curves in (a) and (b) show the function $f(x) = (1+x^a)^{-1/a}$ approximating the integral, where $x = -\Delta k_{13z_0}$ and $a = 0.745$. The dashed curves in (c) and (d) show the functions $g(x) = 1 + (1+x^a)^{-1/a}$ approximating $F(\Delta k_{13z_0})$ and $h(x) = 1 + x(1+x^a)^{-1/a}$ approximating $c(\Delta k_{13z_0})$. The maximum error is 5% for the approximate functions.

for the molecular polarizability α , this becomes

$$R_{90}(\omega) = k_B T \beta_T L^4 \left(\frac{\omega}{c}\right)^4 \left(\frac{\rho \alpha}{4\pi \epsilon_0}\right)^2. \quad (20)$$

For a gas described by the virial equation of state,²⁰ with second and third virial coefficients B and C , the compressibility is

$$k_B T \beta_T = -\frac{k_B T}{V} \left(\frac{\partial V}{\partial p}\right)_T = \rho^{-1} (1 + 2B\rho + 3C\rho^2)^{-1} \quad (21)$$

and the Rayleigh ratio is

$$R_{90}(\omega) = \rho L^4 \left(\frac{\omega}{c}\right)^4 \left(\frac{\alpha}{4\pi \epsilon_0}\right)^2 (1 + 2B\rho + 3C\rho^2)^{-1}. \quad (22)$$

The total THG*RS scattered power obtained by integrating Eq. (17) over z is

$$\begin{aligned} \left(\frac{dP_3}{d\Omega}\right)_{\text{THG*RS}} &= \frac{1}{2} n_3 \epsilon_0 c \rho^2 \left(\frac{z_0 \omega_3}{2n_3 \epsilon_0 c}\right)^2 \left(\frac{1}{24} L_1^3 L_3 \gamma E_0^3\right)^2 \\ &\quad \times \frac{\pi^2 z_0^2}{2k_3} |I(\Delta k_{13z_0}, 0)|^2 F(\Delta k_{13z_0}) R_{90}(\omega_3). \end{aligned} \quad (23)$$

Finally, expressing the total THG*RS power in terms of the incident beam power $P_1 = \frac{1}{4} \epsilon_0 c \lambda_0 z_0 |E_0|^2$ gives

$$\begin{aligned} \left(\frac{dP_3}{d\Omega}\right)_{\text{THG*RS}} &= \frac{\rho^2}{54\pi \epsilon_0^4 c^2} \left(\frac{\omega_3}{c}\right)^4 \left(\frac{1}{24} L_1^3 L_3\right)^2 \langle \gamma \rangle^2 \frac{z_0}{n_3^2} P_1^3 \\ &\quad \times |I(\Delta k_{13z_0}, 0)|^2 F(\Delta k_{13z_0}) R_{90}(\omega_3), \end{aligned} \quad (24)$$

where $\langle \gamma \rangle^2$, the squared isotropic average of γ , appears instead of $\langle \gamma^2 \rangle$ that appears in Eq. (8) for THS.

The expression for THG*RS from a gas that is obtained by combining Eqs. (22) and (24) has ρ^3 density dependence, as compared to linear density dependence in Eq. (8) for direct THS. The scattered light intensity variation along the beam path is the same for THG*RS and direct THS for materials with large dispersion such that $|\Delta k_{13z_0}| \gg 1$ and $c = 2$, but for $|\Delta k_{13z_0}| \ll 1$ and $c = 1$, the THG*RS source cylinder is elongated compared to the THS source. The ratio of Eqs. (24) and (8) gives a simple expression for the relative total scattered power for THG*RS and direct THS. The ratio is

$$\begin{aligned} \frac{P_{\text{THG*RS}}}{P_{\text{THS}}} &= \left(\frac{\pi^2 n_1^3}{9n_3^3}\right) \frac{\langle \gamma \rangle^2}{\langle \gamma^2 \rangle} \rho w_0^4 |I(\Delta k_{13z_0}, 0)|^2 \\ &\quad \times F(\Delta k_{13z_0}) R_{90}(\omega_3), \end{aligned} \quad (25)$$

where $\lambda_0 z_0 = n_1 \pi w_0^2$ relating the confocal parameter z_0 and the beam waist radius w_0 has been used. Low molecular number density and tight focusing (small ρw_0^4) increases the relative contribution of direct THS compared to THG*RS.

Table I presents the results of evaluating Eq. (25) with $\langle \gamma^2 \rangle = \langle \gamma \rangle^2$ for three representative materials and typical experimental conditions. The refractive indices for CCl_4 and SiO_2 are experimentally measured values.^{12,21} The Rayleigh ratio for CCl_4 is obtained using Eq. (18) with experimentally measured n_3 and β_T ,¹⁷ and is consistent with direct measurements.^{22,23} The Rayleigh ratio for SiO_2 is from experimentally measured scattering^{24–26} and compressibility.²⁷ The refractive index for CCl_2F_2 gas is determined from the experimental

TABLE I. Material parameters and relative intensity for THG*RS and direct THS predicted by Eq. (25) with $\langle \gamma^2 \rangle = \langle \gamma \rangle^2$ for a laser beam at $\lambda_0 = 1064$ nm focused to $w_0 = 4.5$ μm in three materials, CCl_4 liquid, SiO_2 glass, and CCl_2F_2 gas ($\rho = \rho_{\text{STP},i}$, where $\rho_{\text{STP},i}$ is the density of an ideal gas at 0 °C and 1 atm), all at $T = 25$ °C. References are given in superscript.

	CCl_4 liquid	SiO_2 glass	CCl_2F_2 gas
ρ (m^{-3})	6.20×10^{27}	2.20×10^{28}	2.68×10^{25}
n_1	1.446 78 ²¹	1.449 67 ²¹	1.001 127 ^{28,29}
n_3	1.483 35 ²¹	1.476 42 ²¹	1.001 189 ^{28,29}
$-\Delta n_{13}$	0.036 57	0.026 75	6.1×10^{-5}
z_0 (μm)	87	87	60
$-\Delta k_{13} z_0$	56.4	41.2	0.065
$c(\Delta k_{13} z_0)$	1.89	1.86	1.06
$F(\Delta k_{13} z_0)$	1.047	1.060	1.851
$ I(\Delta k_{13} z_0, 0) $	0.017 2	0.023 2	0.846 1
R_{90} ($\text{m}^{-1} \text{sr}^{-1}$)	7.58×10^{-3} ¹⁷	7.8×10^{-4} ^{24,25}	1.37×10^{-4}
$P_{\text{THG*RS}}/P_{\text{THS}}$	6.04	4.17	2.19

values $\alpha = 7.52 \pm 0.02 \times 10^{-40} \text{ C}^2 \text{ m}^2 \text{ J}^{-1}$ at $\lambda_0 = 633$ nm from Ref. 28 and $-\Delta k_{12} = 9.8 \pm 0.1 \text{ cm}^{-1}$ for $\lambda_0 = 694.3$ nm and $\rho = \rho_{\text{STP},i}$ from Ref. 29, using Eq. (19) and assuming a dispersion relation with the form $n(\nu) - 1 = \rho(a + b\nu^2)$. The Rayleigh ratio for CCl_2F_2 gas at $\rho = \rho_{\text{STP},i} = 44.615 \text{ mol m}^{-3}$ is calculated using Eq. (22), with virial coefficients $B = -480 \text{ cm}^3 \text{ mol}^{-1}$ and $C = 20\,000 \text{ cm}^6 \text{ mol}^{-2}$,²⁰ and is consistent with direct measurements.³⁰ Table I shows that THG*RS is several times larger than direct THS in all three materials.

In the usual THS experiment, the laser beam is focused into a rectangular cell containing the sample and the light scattered near 90° scattering angle is collected from length D of the focal cylinder over a fixed external solid angle. Compared to the case where the sample cell is empty, the effect of refraction at the windows of the filled sample cell is to increase the laser beam confocal parameter by the factor of n_1 (the beam waist radius w_0 at the focus is unchanged) and to decrease the collection solid angle inside the sample by a factor n_3^2 . The actual observed scattering is given by

$$\left(\frac{dP_3}{d\Omega'} \right)_{\text{obs}} = n_3^{-2} f(c, n_1 z_{0,0}, D) \left(\frac{dP_3}{d\Omega} \right), \quad (26)$$

where $z_{0,0}$ ($z_0 = n_1 z_{0,0}$) is the confocal parameter in the empty (filled) cell and the field of view factor is

$$f(c, n_1 z_{0,0}, D) = \frac{\int_{-D/2}^{D/2} [1 + (z/n_1 z_{0,0})^2]^{-c} dz}{\int_{-\infty}^{\infty} [1 + (z/n_1 z_{0,0})^2]^{-c} dz}. \quad (27)$$

Reflections at the cell windows reduce the laser power in the sample and the collected light signal, and are accounted for by the product of window transmission factors $T_1^3 T_3$ for light at ω_1 and ω_3 , where $T = 1 - (n_s - n_w)/(n_s + n_w)^2$ for the sample-window interface. These effects may be important when comparing different samples under otherwise identical conditions. The calculations above considered a single transverse and longitudinal mode laser beam, and the results are slightly modified for a multi-longitudinal mode laser beam. In the case where there are many modes with the random phase, the average third harmonic power is increased by a factor of

$\langle P_1^3 \rangle / \langle P_1 \rangle^3 = 6$ due to the chaotic intensity fluctuations of the laser beam.³¹

The calculations above were done assuming that all electric field vectors are parallel to each other and perpendicular to the horizontal scattering plane. The signal measured in this configuration is denoted S_{VV} , where the first (second) subscript denotes the incident (scattered) field polarization. Other polarization configurations important for the analysis of the present experiments are S_{HV} and S_{CV} , with horizontal and circular polarized incident laser beams, respectively. The isotropic tensor $\langle \gamma \rangle$ mediating THG has non-vanishing components $\langle \gamma \rangle_{\alpha\alpha\alpha\alpha} = \langle \gamma \rangle_{\alpha\alpha\beta\beta} + \langle \gamma \rangle_{\alpha\beta\alpha\beta} + \langle \gamma \rangle_{\alpha\beta\beta\alpha}$ and produces THG which is polarized parallel to incident linear polarization and vanishes for incident circular polarization.^{14,32} However, depolarized THG*RS (S_{HV}) can result due to depolarized RS of the polarized THG beam. The THG*RS signal S_{EV} for an elliptical polarized incident field $E_0(\cos \psi \hat{e}_V + i \sin \psi \hat{e}_H)$ and 90° scattering angle is given by

$$S_{\text{EV}} \propto (\cos^2 \psi + \rho_V \sin^2 \psi)(\cos^2 \psi - \sin^2 \psi)^2, \quad (28)$$

where ρ_V is the depolarization ratio for Rayleigh scattering of linear polarized light, and S_{VV} , S_{CV} , and S_{HV} are obtained for $\psi = 0^\circ, 45^\circ$, and 90° , respectively.

For direct THS, the isotropic average of the squared γ tensor $\langle \gamma^2 \rangle$ has contributions from the irreducible parts of γ with ranks 0, 1, 2, 3, and 4, producing polarized and depolarized THS, and non-zero THS for circular polarized incident light.^{2,4} In the most general case, the following relation holds for direct THS at 90° scattering angle:⁴

$$2S_{\text{CV}} = (S_{\text{VV}} + S_{\text{HV}}). \quad (29)$$

For T_d symmetry molecules, where the non-vanishing irreducible parts of γ are $\gamma^{(0)}$ and $\gamma^{(4)}$ with ranks 0 and 4, one has $1/2 \leq S_{\text{CV}}/S_{\text{VV}} \leq 13/16$, with the minimum (maximum) attained when $\gamma^{(4)}$ ($\gamma^{(0)}$) vanishes.⁴ The variation of $S_{\text{EV}}(\psi)/S_{\text{VV}}$ with ψ in the most general case is qualitatively similar for direct THS [Eq. (4) in Ref. 2] and THG*RS [Eq. (28)]. The most prominent difference is that $S_{\text{EV}}(\psi)/S_{\text{VV}}$ at $\psi = 45^\circ$ is $\geq 1/2$ for direct THS but vanishes for THG*RS.

III. EXPERIMENT

Harmonic scattering was measured using the apparatus previously employed for HRS measurements.³³ The beam from a multi-longitudinal-mode Nd:YAG (yttrium aluminium garnet) laser (operating at $\lambda_0 = 1064$ nm, 4.3 kHz repetition rate, 100 ns pulse duration, and 0.5 cm^{-1} spectral width) is focused to a $w_0 = 4.5 \mu\text{m}$ beam waist radius in the sample. The polarization of the laser beam is set by a linear polarizer and liquid crystal variable wave plate (LCVWP), and 90° scattered light is collected and collimated by an aspheric lens (focal length $f = 4$ mm, maximum numerical aperture $NA = 0.5$), analyzed by a linear polarizer, focused into an optical fiber, and fiber-coupled to a spectral filter followed by the photon counting detector. The sample temperature was $T = 25.0$ °C, and the laser beam average power in the sample was up to $P_{\text{av}} = 3.6$ W.

Harmonic scattering was measured for three materials: CCl_4 liquid, SiO_2 glass, and CCl_2F_2 gas, with the material parameters given in Table I. The CCl_4 sample was contained in a standard 10 mm square cuvette. The SiO_2 sample was a 2 mm \times 10 mm cuvette with the beam propagating inside the 5 mm thick fused silica glass wall. The CCl_2F_2 sample was contained in a 2 mm \times 10 mm cuvette (at pressure up to 6 atm.) with the beam propagating perpendicular to the 5 mm thick walls, along a 2 mm beam path in the gas. The CCl_4 (CCl_2F_2) sample cell was filled through a 0.2 μm (0.5 μm) particle filter. Adjustments were made to precisely position the harmonic scattering source at the focal point of the collection lens (1 mm inside the sample), at the center of the field of view defined by the optical fiber. The field of view with a 600 μm fiber and 2.7 \times magnification is about 220 μm in diameter, slightly larger than the 170 μm length ($2z_0$) of the scattering source cylinder in CCl_4 . Third harmonic light at $\lambda = 355$ nm was selected (and second harmonic light at 532 nm was blocked) by a tandem pair of 355 nm UV bandpass filters with 10 nm and 1 nm bandwidth. Second harmonic measurements could also be made by switching to a 532 nm bandpass filter with 2 nm bandwidth. The α -BBO (barium borate) analyzing prism polarizer, lenses, and fiber were selected for high UV transmission.

The third harmonic signal is nearly cubic in the average laser power P_{av} for all three samples, as shown in Fig. 2(a). Self-focusing^{34,35} in CCl_4 (SiO_2) caused the beam waist to move towards the focusing lens by 5.5 $\mu\text{m}/\text{W}$ (3.8 $\mu\text{m}/\text{W}$), so position adjustments were made to maintain the beam waist on the collection optic axis during scattered light measurements. Figures 2(b) and 2(c) show that $S_{\text{VV}}/P_{\text{av}}^3$ still had a small power dependence, +0.5%/W \pm 0.3%/W for CCl_4 and $-1.2\%/W \pm 0.7\%/W$ for SiO_2 . The self-focusing threshold measured at 796 nm and scaled to 1064 nm (using λ^2 scaling)³⁴ is 4.5 MW for CCl_4 and 7.7 MW for SiO_2 .³⁵ The estimated $S_{\text{VV}}/P_{\text{av}}^3$ variation with P_{av} , based on laser peak power and the self-focusing threshold power, is +0.3%/W for CCl_4 , consistent with the observed variation. For SiO_2 , the estimated variation due to self-focusing is +0.2%/W, and the observed variation is larger and has the opposite sign, indicating a contribution from three-photon absorption for SiO_2 . Self-focusing is not observed for the CCl_2F_2 gas sample, and $S_{\text{VV}}/P_{\text{av}}^3$ in Fig. 2(d) shows no significant variation. Table II gives the values for $S_{\text{VV}}/P_{\text{av}}^3$ measured at $P = 3.6$ W and extrapolated to $P = 0$, for CCl_4 liquid, SiO_2 glass, and CCl_2F_2 gas (at $\rho = 5.94\rho_{\text{STP},i}$).

Figure 3 shows HRS measurements for CCl_2F_2 gas as a function of gas density. The gas density was determined from p and $T = 25$ $^\circ\text{C}$ using the virial equation of state with coefficients $B = -480$ cm^3 mol^{-1} and $C = 20$ 000 cm^6 mol^{-2} .²⁰ The density virial correction is 15% at the highest pressure. The HRS signal is nearly linear in density, and the deviation from linearity is accounted for by the factor $L_1^4 L_2^2 T_1^2 T_2 n_1^{-1.4} n_2^{-1}$, as shown in Fig. 3(b). This factor is obtained by combining Eqs. (9) and (26) and using the approximate result $f \approx n_1^{-0.4}$ obtained from Eq. (27) for $c = 1$, $z_{0,0} = 60$ μm , and $D = 220$ μm by expressing Eq. (27) as a power series in $(n_1 - 1)$ for $(n_1 - 1) \ll 1$.

Figure 4 shows THS measurements for CCl_2F_2 gas as a function of gas density. The THS signal is nearly cubic in

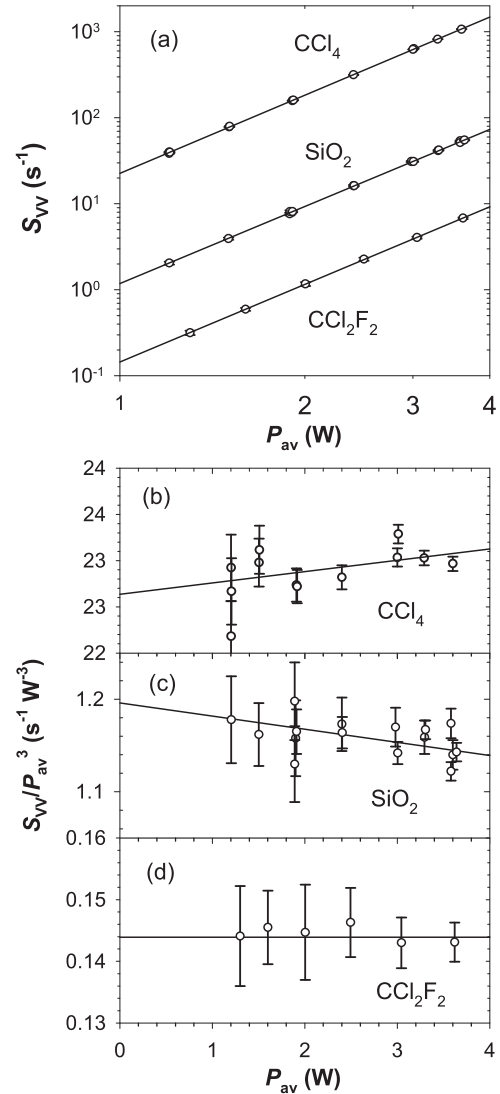


FIG. 2. Third harmonic signal S_{VV} versus average laser power P_{av} . (a) Log-log graph of $S(P)$ for three materials. The small deviations from $S \propto P^3$ are shown by the graphs of S/P^3 versus P for (b) CCl_4 , (c) SiO_2 , and (d) CCl_2F_2 ($\rho = 5.94\rho_{\text{STP},i}$).

density, consistent with $\text{THG}^* \text{RS}$ rather than direct THS which has linear density dependence. Figure 4(b) shows the deviation from cubic density dependence, which is fit by the function obtained by combining Eqs. (22) and (24)–(26)

$$r^{-3}S/P^3 = a_3 L_1^6 L_3^6 T_1^3 T_3 n_1^{0.6} n_3^{-4} (1 + 2B\rho + 3C\rho^2)^{-1} \times r^{-2} [(rG_{\text{THG}}^{1/2} + W_{\text{THG}})^2 + G_{\text{THS}}], \quad (30)$$

TABLE II. Third harmonic signals $S_3 = (S_{\text{VV}}/P_{\text{av}}^3)_{P=0}$ measured at $P_{\text{av}} = 3.6$ W and extrapolated to $P = 0$. The CCl_2F_2 measurement is for gas density $\rho = 5.94\rho_{\text{STP},i}$. The ratios for $\chi^{(3)}$ and γ are calculated from S_3 using Eqs. (35)–(37).

	CCl_4	SiO_2	CCl_2F_2
S_3 ($\text{s}^{-1} \text{W}^{-3}$)	33.4 ± 0.3	1.70 ± 0.02	0.144 ± 0.003
$\chi^{(3)}/\chi_{\text{CCl}_4}^{(3)}$	1	0.511 ± 0.003	$2.75 \pm 0.03 \times 10^{-3}$
$\gamma/\gamma_{\text{CCl}_4}$	1	0.144 ± 0.001	0.375 ± 0.004

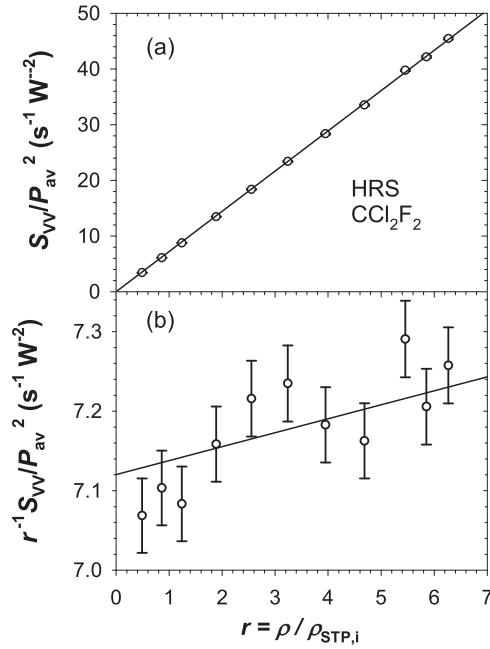


FIG. 3. Second harmonic signal S_{VV} versus density $r = \rho/\rho_{STP,i}$ for CCl_2F_2 gas. (a) The graph shows the data (circles) fit by $S/P^2 \propto r$ (line). (b) Deviations from $S/P^2 \propto r$ are shown by the graph of $r^{-1}S/P^2$ versus r . The curve shows the theoretical function $r^{-1}S/P^2 = a_2 L_1^4 L_2^2 T_1^2 T_2 n_1^{-1.4} n_2^{-1}$ with the adjustable parameter a_2 fit to the data (circles, with 0.66% error bars due to 0.26% laser power fluctuations and 0.4% photon counting statistics).

where the gas THG factor

$$G_{\text{THG}} = \frac{|I(\Delta k_{13z0}, 0)|^2 F(\Delta k_{13z0})}{[|I(\Delta k_{13z0}, 0)|^2 F(\Delta k_{13z0})]_{r=1}} \quad (31)$$

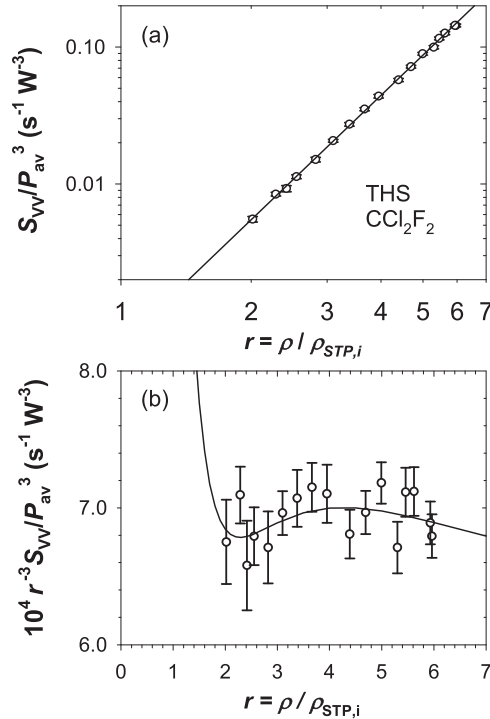


FIG. 4. Third harmonic signal S_{VV} versus density $r = \rho/\rho_{STP,i}$ for CCl_2F_2 gas. (a) Log-log graph shows data (circles) fit by $S/P^3 \propto r^3$ (line). (b) Deviations from $S/P^3 \propto r^3$ are shown by the graph of $r^{-3}S/P^3$ versus r . The curve shows the theoretical function given by Eq. (30) fit to the data (circles).

is normalized at gas density $r = 1$, and the adjustable parameters are a_3 and W_{THG} . The parameter W_{THG} represents the amplitude of the THG wave from the entrance window, and G_{THS} represents the direct THS contribution from the gas. The value $G_{\text{THS}} = 0.622$ is determined by the value $P_{\text{THG}^*\text{RS}}/P_{\text{THS}} = 2.19$ at $r = 1$ with $W_{\text{THG}} = 0$ from Table I, taking into account that Eq. (27) for the field of view factor at the experimental conditions is 1.36 times larger for THS as compared to RS^*THG . The value $W_{\text{THG}} = -1.04$ for the fit indicates that the window THG nearly cancels the gas THG at $r = 1$. The sign and magnitude of W_{THG} from the fit agree with the sign and magnitude of THG estimated using Eq. (12) for the SiO_2 window of the gas cell. The window THG and gas THS contributions estimated from this fit are significant even at the highest gas density, reducing the signal by a factor of 1.76 for the measurement at $r = 5.94$ in Table II. The window THG contribution to the signal could be reduced by using a longer gas cell.

Figure 5 shows the measurements of the polarization dependence of the third harmonic signal for CCl_4 and SiO_2 , from which the relative contributions of THG^*RS and direct THS can be determined. Table III gives the values (extrapolated to zero collection solid angle, $NA = 0$) for the polarization ratios $S_{\text{HV}}/S_{\text{VV}}$ and $S_{\text{CV}}/S_{\text{VV}}$. The observed THS polarization ratios $S_{\text{HV}}/S_{\text{VV}}$ match the corresponding depolarization ratios ρ_V for Rayleigh scattering of polarized light by CCl_4 and SiO_2 .^{22,25,26} This indicates that the signals are due to THG^*RS , although a direct THS contribution is not excluded.

The signal S_{CV} is entirely due to direct THS since THG for a circular polarized beam is zero.^{14,32} The THG^*RS contribution due to imperfect circular polarization for this measurement is $S_{\text{CV}}/S_{\text{VV}} \leq 10^{-5}$, since quarter wave retardation for the LCWVP is set within about 1 mrad and S_{CV} is quadratic in angle and retardation errors. Equation (29) combined with the observed ratio $S_{\text{CV}}/S_{\text{VV}}$ gives the upper bound

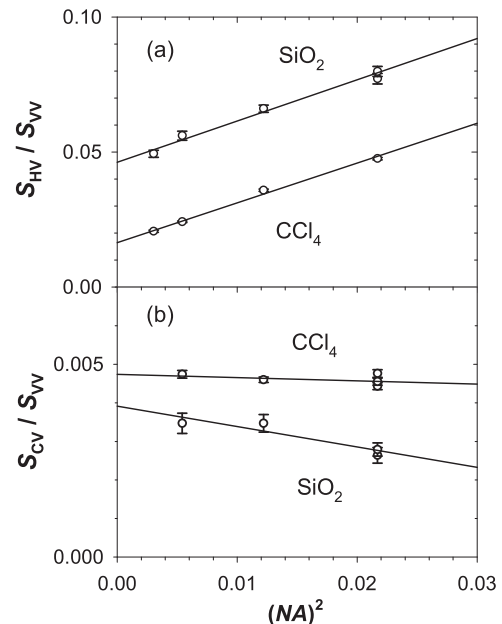


FIG. 5. Third harmonic signal ratios for polarizations (a) $S_{\text{HV}}/S_{\text{VV}}$ and (b) $S_{\text{CV}}/S_{\text{VV}}$, extrapolated to zero collection solid angle ($NA = 0$).

TABLE III. THS polarization ratios S_{HV}/S_{VV} and S_{CV}/S_{VV} at $NA = 0$ from Fig. 5 are shown. S_{HV}/S_{VV} is comparable to ρ_V , the RS depolarization ratio for polarized light. The lower bound $S_{VV,THG^*RS}/S_{VV,THS}$ for the ratio of THG^{*}RS and direct THS contributions is determined from S_{CV}/S_{VV} using Eq. (32). The lower bound for the THS reduction factor $S_{THS,i}/S_{THS}$ from Eq. (33) is comparable to the RS reduction factor given by the compressibility ratio $\beta_{T,i}/\beta_T$. References are given in superscript.

	CCl ₄	SiO ₂
S_{HV}/S_{VV}	0.017 ± 0.001	0.046 ± 0.002
S_{CV}/S_{VV}	0.0047 ± 0.0002	0.0039 ± 0.0003
ρ_V	0.021 ²²	0.044 ± 0.002 ^{25,26}
$S_{VV,THG^*RS}/S_{VV,THS}$	106 ± 5	128 ± 10
$S_{THS,i}/S_{THS}$	18	31
$\beta_{T,i}/\beta_T$	37 ¹⁷	39 ^{25,27}

$$S_{VV,THS}/S_{VV} \leq 2S_{CV}/S_{VV} \quad (32)$$

for the direct THS contribution to the total signal. An upper bound <1% for the direct THS contribution is obtained from the S_{CV}/S_{VV} results for SiO₂ and CCl₄ in Table III. Table IV summarizes the S_{CV}/S_{VV} results for SiO₂ and CCl₄, and also the measurements at $NA = 0.15$ for four additional liquids. The largest direct THS contribution to S_{VV} is <2%.

From the upper bound for $S_{VV,THS}/S_{VV}$ given by Eq. (32), one obtains a lower bound for $S_{VV,THG^*RS}/S_{VV,THS}$, shown for SiO₂ and CCl₄ in Table III. The values for $S_{VV,THG^*RS}/S_{VV,THS}$ in Table III are larger than the corresponding values for P_{THG^*RS}/P_{THS} given in Table I, by the factor

$$S_{THS,i}/S_{THS} = \frac{S_{VV,THG^*RS}/S_{VV,THS}}{P_{THG^*RS}/P_{THS}} \quad (33)$$

also shown in Table III. This is the factor by which the incoherent sum over molecules, assumed in the derivation of Eq. (8), overestimates P_{THS} . The overestimate occurs because thermodynamic density fluctuations are much smaller for a liquid or glass than for an ideal gas with the same density. This is the same effect as occurs for RS, where Eq. (22) evaluated for an ideal gas overestimates RS from a liquid or glass by the factor $\beta_{T,i}/\beta_T$, with $\beta_{T,i} = (\rho k_B T)^{-1}$ and β_T the measured compressibility.^{17,25,27} The lower bound $S_{THS,i}/S_{THS}$ for the THS overestimate is consistent with $\beta_{T,i}/\beta_T$ shown in Table III. In contrast, this effect does not reduce HRS since it is governed by molecular orientation fluctuations not density fluctuations. (Dipolar HRS vanishes for a centrosymmetric medium, but orientation fluctuations can break local centrosymmetry and

TABLE IV. THS polarization ratio S_{CV}/S_{VV} for silica glass and five liquids, at $NA = 0$ for SiO₂ and CCl₄ and at $NA = 0.15$ for the others. The upper bounds on the direct THS contributions given by $S_{VV,THS}/S_{VV} \leq 2S_{CV}/S_{VV}$ are all <2%.

Material	S_{CV}/S_{VV}
SiO ₂	0.0039 ± 0.0003
D ₂ O	0.0042 ± 0.0004
CCl ₄	0.0047 ± 0.0002
CDCl ₃	0.0057 ± 0.0004
CD ₃ CN	0.0067 ± 0.0006
(CD ₃) ₂ SO	0.0098 ± 0.0008

effectively randomize the scattered phase to produce HRS, whereas density fluctuations do not.) From the results above, the actual total THS intensity is larger than the intensity calculated assuming completely incoherent direct THS, by the lower bound factor

$$S/S_{THS,i} = [(\beta_{T,i}/\beta_T)(2S_{CV}/S_{VV})]^{-1}. \quad (34)$$

From Eq. (34) and the data in Table III, one calculates $S/S_{THS,i} = 2.9$ for CCl₄ and 3.3 for SiO₂.

The third harmonic signal measurements $S_3 = (S_{VV}/P_{av}^3)_{P=0}$ given in Table II can be used to estimate nonlinear susceptibilities $\chi^{(3)} = \rho L_1^3 L_3 \gamma$ and hyperpolarizabilities γ . From Eqs. (24) and (26), one sees that $\chi^{(3)} \propto (S_3/F_3)^{1/2}$ where

$$F_3 = T_1^3 T_3 n_1 n_3^{-4} f(c, z_0, D) |I(\Delta k_{13} z_0, 0)|^2 F(\Delta k_{13} z_0) R_{90}(\omega_3). \quad (35)$$

Table II gives the values calculated for

$$\chi^{(3)}/\chi_{CCl_4}^{(3)} = (S_3/F_3)^{1/2}/(S_3/F_3)_{CCl_4}^{1/2}, \quad (36)$$

$$\gamma/\gamma_{CCl_4} = (\chi^{(3)}/\rho L_1^3 L_3)/(\chi_{CCl_4}^{(3)}/\rho L_1^3 L_3). \quad (37)$$

The result $\chi_{SiO_2}^{(3)}/\chi_{CCl_4}^{(3)} = 0.511 \pm 0.003$ in Table II is in rough agreement with $\chi_{SiO_2}^{(3)}/\chi_{CCl_4}^{(3)} = 0.39 \pm 0.02$ from previous THG measurements at $\lambda = 1064$ nm.¹² The result $\gamma_{CCl_2F_2}/\gamma_{CCl_4} = 0.375 \pm 0.004$ in Table II is in rough agreement with $\gamma_{CCl_2F_2}/\gamma_{CCl_4} = 0.445 \pm 0.010$ from previous gas phase ESHG (electric field induced second harmonic generation) measurements at $\lambda = 694.3$ nm.²⁹ A second value $\gamma_{CCl_2F_2}/\gamma_{CCl_4} = 0.375 \times (1.76)^{1/2} = 0.497 \pm 0.005$ is obtained by accounting for the estimated window THG and gas direct THS contributions to the measured THS signal. The previous ESHG result falls between these two estimates. The stated error bars represent the statistical uncertainty of the measurements and do not include the systematic uncertainty of the CCl₂F₂ gas THS measurement mainly due to window THG. The systematic uncertainty due to window THG could be eliminated by using a longer gas cell with an absorbing entrance window.

IV. DISCUSSION AND CONCLUSION

The main result of this work is that THS from a pure liquid is produced by THG^{*}RS with a negligible contribution from direct THS. THG in the forward direction has been used to measure $\chi^{(3)}$ and γ of molecular liquids,^{12,36,37} and measurements using THG^{*}RS and THG are similar in many respects. One advantage of THG^{*}RS over THG is that it does not vanish for a laser beam focused in an infinite medium, so a window does not need to be placed close to the focus, and the THG contribution from the windows can be made to effectively vanish for a long enough sample. This is the case for the 10 mm long CCl₄ liquid and SiO₂ glass samples in the present work, but not for a 2 mm long CCl₂F₂ gas sample. A disadvantage of THG^{*}RS for accurate nonlinear optical property measurements is the need to also determine R_{90} and the sensitivity of RS and THG^{*}RS to the presence of particles.³⁸⁻⁴⁰

THS has recently been investigated as an alternative method for measuring the second hyperpolarizability γ of

chromophore molecules in solution, analogous to the use of HRS for measuring the molecular first hyperpolarizability β , with the same advantages of simplicity and wide applicability.^{1,2} The solvent was used as an internal calibration standard for determination of γ from THS measurements, and THS polarization measurements were used to determine γ tensor spherical invariant contributions. These studies assumed that direct THS was being observed, which is not correct for the solvent but may be correct for the dissolved chromophore.

The experimental conditions in the most recent THS studies are similar to the present experiment, with the beam focused to a waist diameter of 8 μm ,^{1,2,41} so THG*RS is expected to dominate the THS signal measured from CCl_4 and other pure solvents in those experiments. THS from chromophore solutions was also measured. For binary solutions with solvent A and chromophore B, the THG*RS signal is $\propto(\rho_A\gamma_A + \rho_B\gamma_B)^2$ (assuming that refractive index dispersion of the solution is not changed by the dissolved chromophore), while the direct THS signal is $\propto(\rho_A\gamma_A^2 + \rho_B\gamma_B^2)$. In Ref. 2, solutions of DR1 (disperse red 1) at concentrations up to $\rho_B = 0.1$ mM in CH_3CN ($\rho_A = 19.1\text{M}$) were measured, where $\gamma_B/\gamma_A \approx 2000$. In this experiment, a strong chromophore in dilute solution produces THS which is the sum of direct THS from the chromophore and THG*RS from the solvent. The total THS signal increases linearly with DR1 concentration, and at the maximum DR1 concentration, reaches a value that is $3 \times$ THG*RS from neat solvent. A calculation assuming completely incoherent direct THS for the solvent underestimates the reference intensity by about a factor of three [see Eq. (34)], so the internal reference method gives chromophore γ_B about $1.7 \times$ too small. In Ref. 1, solutions of stilbene at concentrations up to $\rho_B = 0.6\text{M}$ in CHCl_3 ($\rho_A = 12.5\text{M}$) were measured, where $\gamma_B/\gamma_A \approx 7$. For these solutions, both solvent and chromophore contribute significantly to THG, giving THG*RS $\propto(1 + 0.34\gamma_B)^2$ where $0 < \gamma_B < 1$ is the fraction of maximum stilbene concentration, and THG*RS increases almost linearly (with small upward curvature) over the measured stilbene concentration range. Direct THS is mainly from the chromophore, since $\rho_B\gamma_B^2/\rho_A\gamma_A^2 \approx 2$ and THS due to density fluctuations is more strongly suppressed for the dense solvent than for the more dilute chromophore. The observed concentration dependence in Fig. 3 of Ref. 1 is roughly linear and is fit by the sum of THG*RS and direct THS, with the maximum direct THS about $1.4 \times$ pure solvent THG*RS. Using THG*RS $\propto(1 + 0.34\gamma_B)^2$ with the solvent as the internal reference gives $\gamma_B/\gamma_A = 7.1$, in accidentally close agreement with $\gamma_B/\gamma_A = 7.0$ obtained in Ref. 1 using the solvent as the internal reference and assuming only direct THS. In this experiment, a weak chromophore at high concentration produces THS which is the sum of direct THS and THG*RS from the chromophore and THG*RS from the solvent.

THS polarization dependence was measured in Ref. 2 for CCl_4 , CH_2Cl_2 , and for a solution of DR1 in CH_3CN . The results $S_{\text{HV}}/S_{\text{VV}} = 0.017$ for CCl_4 and 0.23 for CH_2Cl_2 are consistent with either direct THS or THG*RS for these liquids, but the result $S_{\text{CV}}/S_{\text{VV}} = 0.11$ measured for both liquids requires combined direct THS and THG*RS. The $S_{\text{HV}}/S_{\text{VV}}$ value measured for CCl_4 in Ref. 2 agrees with the result of

the present work in Table III, whereas the $S_{\text{CV}}/S_{\text{VV}}$ value measured for CCl_4 disagrees. For the DR1 solution, the results are $S_{\text{HV}}/S_{\text{VV}} = 0.16$ and $S_{\text{CV}}/S_{\text{VV}} = 0.41$, consistent with contributions from both direct THS and THG*RS. Analysis of polarization data in terms of spherical invariants of γ has been proposed^{2,4} and can be applied to chromophore solution polarization data with the solvent contribution subtracted, but pure solvent polarization data contain almost no information about the γ tensor components since the signal is almost entirely due to THG*RS.

In summary, THS from a strong chromophore in dilute solution may be due to incoherent direct THS analogous to HRS, but THS from the solvent is mainly Rayleigh scattered coherent THG (THG*RS). This invalidates the internal reference method, where the solute molecule hyperpolarizability is calibrated by comparison to the solvent molecules in the same sample, with all experimental factors other than the molecular number densities cancelling out in the comparison. THS is still a convenient way to measure the second hyperpolarizability γ of chromophores in solution, and relative calibration can be achieved by comparison of sample and reference chromophores in dilute solutions with the same solvent, but absolute calibration remains problematic. The polarization analysis of THS that has been applied to pure liquids is invalid since the signal is THG*RS, but this analysis is valid when applied to direct THS from a strong chromophore in dilute solution.

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