

But what of other kinds of single-particle eigenstates.

— Well if they exist exactly then the exclusion Principle applies exactly.

— if they exist as an approximation then the exclusion principle is right for them as far as they go.

— What if single-particle eigenstates are NOT even a good approximation?

→ Well maybe some other way to use the exclusion principle to characterize the state (but this is apparently unspeakable).

or maybe in some way kind of observable (H,  $\sigma_z$  etc.) allows single-particle states in principle.

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# Examples of Spin 1/2 states

## Example 1

Two spin 1/2 particles  
(e.g., electrons)

~~and two states eigenstates.~~

~~$\Psi_a(\nu_1) \chi_{+1}$~~

and two single-particle <sup>stationary</sup> states

They are orthonormal

$$\Psi_a(\nu) \chi_{+} \quad \text{and} \quad \Psi_b(\nu) \chi_{+}$$

both up states for simplicity.

Two product states can be constructed

$$\Psi_a(\nu_1) \chi_{+1} \Psi_b(\nu_2) \chi_{+2}$$

and  $\Psi_a(\nu_2) \chi_{+2} \Psi_b(\nu_1) \chi_{+1}$

Question: Construct  
a symmetrized state,

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Ans:

$$\Psi(1,2) = \frac{1}{\sqrt{2}} \left[ \Psi_a(r_1) \Psi_b(r_2) - \Psi_a(r_2) \Psi_b(r_1) \right]$$

$$\times \chi_{+1} \chi_{+2}$$

$$\Psi(2,1) = -\Psi(1,2)$$

and so antisymmetric  
as ~~advertised~~  
required.

Normalization?  $|\Psi(1,2)|^2 = 1$  ?

— The cross terms vanish

$$\text{since } \int \Psi_a^*(r) \Psi_b(r) dr = 0$$

etc.

$$\begin{aligned} |\Psi(1,2)|^2 &= \frac{1}{2} [1 \cdot 1 + 1 \cdot 1] \chi_{+1}^\dagger \chi_{+1} \\ &= 1 \quad \times \chi_{+2}^\dagger \chi_{+2} \end{aligned}$$



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# Example 2M

Note if  $\psi = \psi_1 = \psi_2$   
then  $\Psi(12) \rightarrow 0$

Not a real force  
— a result  
of ~~symmetrization~~  
symmetrization

The exchange force repulsion.

If  $a = b$ ,  $\Psi(12) = 0$

everywhere for

We couldn't construct  
a 2-particle state  
in this case.

any  
 $\psi_1, \psi_2$   
values

Both examples of the  
Pauli ~~exchange~~  
exclusion principle.

What happens if

$\psi_a \rightarrow \psi_b$  if one adjusts the system in the right way?

Does Pauli exclusion principle  
for states just suddenly turn on?

Maybe can't happen for quantized single-particle  
states.



~~Example 11.8~~ Slater Determinant developed for Fermions

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What if we had

$N$  identical fermions  
and  $N$  single-particle  
eigenstates

(usually thinking  
of energy eigenstates  
AKA stationary  
states)

$$\begin{array}{l} \psi_1(x) \chi_1 \\ \psi_2(x) \chi_2 \\ \vdots \\ \psi_N(x) \chi_N \end{array} \left\{ \begin{array}{l} \text{e.g., } \chi_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ \chi_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \end{array} \right.$$

for example,  
for spin  $1/2$   
particles

We label the particles

$1', 2', \dots, N'$

— primes are so we can keep

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particles and states separate,

Well a product state that solves the sch. eq. is

Heck of an indexing problem with many particle and single-particle states,

$$\Psi_{\text{product}} = \psi_1(1') \psi_2(2') \psi_3(3') \dots \psi_N(N')$$



a short form

$$\text{for } \psi_2(\psi_{2'}) \chi_{22'} \psi_3(\psi_{3'}) \chi_{33'}$$

But the product state is

Not symmetrized

(unless all <sup>single-particle</sup> states are actually the same state, in which case the product state is symmetric and that is ruled out for fermions)

~~If any two single-particle states~~

Can we create a fully antisymmetric state by linear combination of the product state with permuted particles (or permuted indices)?  
if you prefer

Yes.

$P$  is the parity of the permutation

$$\Psi = \frac{1}{\sqrt{N!}} \sum_p (-1)^{P_p} \psi_1(1) \psi_2(2) \dots \psi_N(N')$$

Normalization

We sum over all ~~the~~ permutations  $P$  of particles (or particle indices)

How many unique permutations are there?

ANS.  $N!$  of course.



Each permutation has a unique parity  $P_p = \begin{cases} 0 & \text{for even permutations} \\ 1 & \text{for odd permutations.} \end{cases}$  for creating it from 2-particle exchanges

Proving this point is a bit tedious, but we've got to bite the bullet sometimes.

Proof in steps (Maybe there's an easier proof, but this is all I can think of)

a) First going from the fiducial or original ~~order~~ order (permutation)

Which could be any permutation you like!

to any other order can always be done by a series of 2-particle exchanges.

from 1' 2' 3' ...

N'  $\left\{ \begin{array}{l} \text{Call this permutation X} \end{array} \right.$

↳

any permutation.

$\left\{ \begin{array}{l} \text{Call this permutation Y} \end{array} \right.$

1) exchange 1' (from wherever it is) and whatever to where you want 1'.

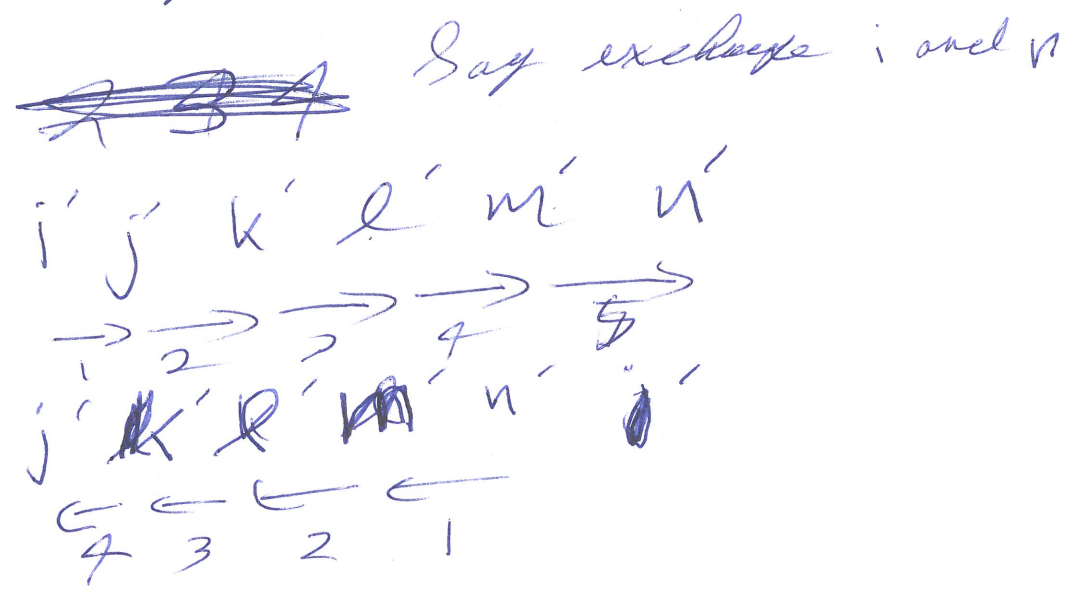
2) exchange 2' (from wherever it is) and whatever to where you want 2'.

and so on

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until you have the permutation you want.

b) Every 2-particle exchange can be built from a series of 2-adjacent-particle exchanges.



These series are always odd in number.

If particle 2 is  $t$  steps from particle 1  
particle 1 takes  $t$  steps to reach particle 2's position  
and particle 2 takes  $t-1$  steps to reach particle 1's old position.

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so  $2t - 1$  steps in all.

c) say you started from the fiducial permutation  $X$  and went on series of 2-adjacent particle exchange ~~for all~~ ~~per any~~ set of particles you hit and returned to the original permutation  $X$

particles  $\rightarrow$  . . .  $q'$   
positions  $\rightarrow$  . . .  $q$

To get particle  $q'$  back to ~~Q~~ position  $q$

always takes an even ~~set~~ number of 2-adjacent particle exchanges.

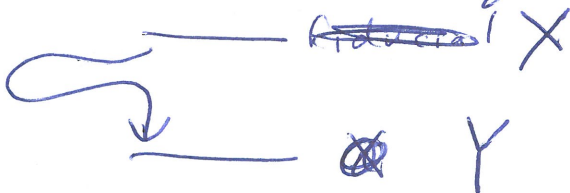


There is no other way.

- all steps to the right must equal in number all steps to the left if you return to the same place.

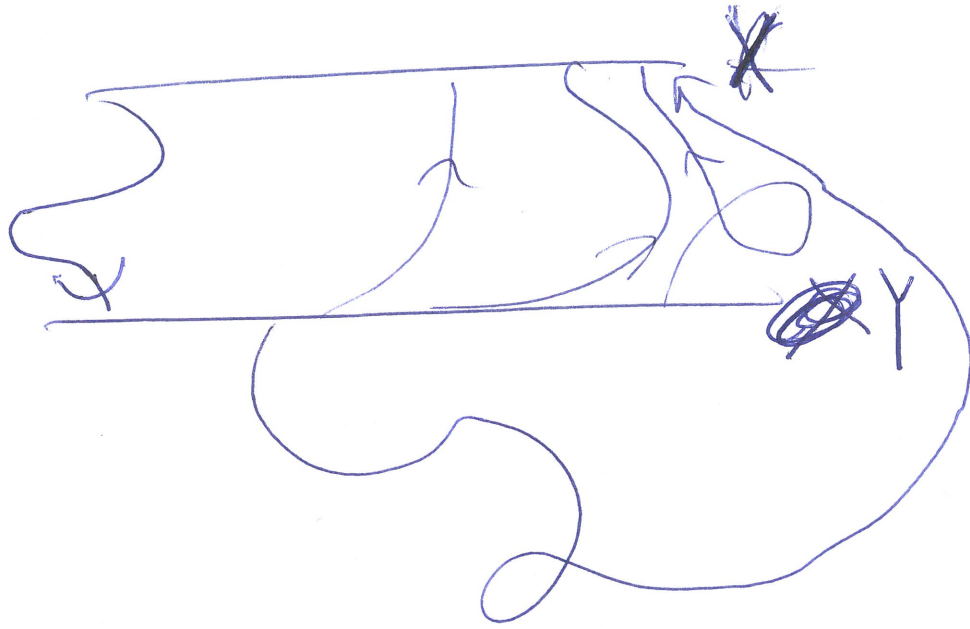
d) Now say you went by ~~2 jumps~~ 2-adjacent particle exchanges from the ~~initial~~ permutation  $X$  to ~~any~~ permutation  $Y$ .

- Say it took an even/odd number of 2-adjacent particle exchanges by some definite ~~then it~~ sequence



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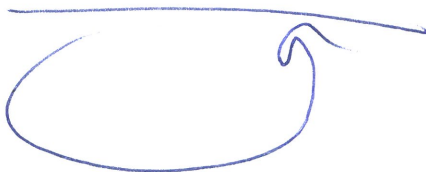
Now by ~~any~~ <sup>all possible (of which there are actually infinitely many)</sup> sequence at all come back from  $X$  to ~~itself~~  $X$



All the back sequences  
must amount  
to an even/odd number  
of exchanges

or else (c) is violated  
which cannot be.

Since



~~trivial~~  $X$  to  $X$   
~~to itself~~  
is an even number  
~~of 2 parts~~

of 2-adjacent-particle  
exchanges.

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∴ Every permutation ~~X~~

is a definite

even or odd

number of 2-adjacent particle

exchanges from

permutation ~~permutation~~ X

Since permutations X and Y

are general

every permutation is

a definite even or

odd number of

2-adjacent-particle

exchanges from

any other.

If X is the original

or ~~definite~~ <sup>fiducial</sup> permutation.



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~~an~~ assigned  
to be an even permutation  
by definition

every other permutation  
is definitely

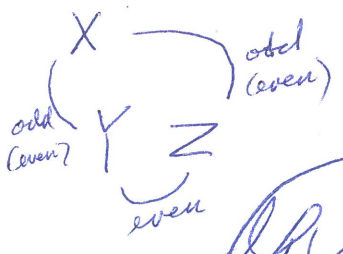
an even  
or odd permutation  
(has definite parity)

Say  $\gamma$  and  $\zeta$  ~~even/odd~~

general ~~even~~ permutation

going from  $\gamma$  to  $\zeta$

would take even (if both were  
odd (if one even & one odd))  
even or both odd and even/odd number

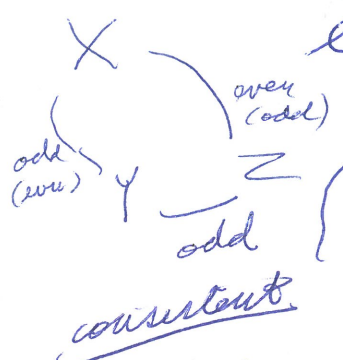


of 2-adjacent particle  
exchanges.

if it took an odd/even number,  
then there'd be a ~~paradox~~  
even + odd + even = odd  
or odd + even + odd = odd

consistent

set of 2-adjacent-particle 5-209  
 exchanges from if these ~~are~~



~~results violated~~ X to ~~Y~~ X which would  
 take an odd number of 2-adjacent particle exchanges  
 again violates (c)

A definite ~~perm~~ parity  
~~is then~~ assigned to  
 every permutation is then  
 fully consistent.

By symmetry, there must

$$\frac{N!}{2} \text{ even parity permutations}$$

$$\frac{N!}{2} \text{ odd parity permutations}$$

~~(Not N!)~~ unless  $N=1$   
 in which case there  
 is only 1 even permutation  
 by definition.

Another argument is  
 say you worked your way  
 systematically through all permutations  
~~the sequence~~ without repeats  
 — then you go even, odd, even, ..., odd.

by 2-particle adjacent particle exchanges



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and if ever ran out of evens  
~~you~~ with more than one odd  
to go you couldn't  
do the ~~system~~ repeated  
run through

and if you ran out of odds  
with <sup>more than one even</sup> ~~any evens~~ left  
you couldn't do  
the the repeated  
run through.

Ah but can you do a repeated  
run through?

I think so, but can't see a simple  
proof at the moment.

I give up on this for now

Picking up the thread again

p. 5-198.

As our sum of product  
states fully antisymmetric?



e) Now we've established that ~~2 particle~~ 2-adjacent particle exchanges ~~transitions~~ always change the parity of the permutation from even/odd to odd/even. (see p. 5-201)

What of general 2 particle exchanges?

Well as established on p. 5-199, every general 2-particle exchange is equivalent ~~to~~ <sup>to</sup> an odd number of 2 adjacent particle exchanges.

∴ Every 2-particle exchange changes the permutation parity.

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How to resume from p. 5-198

Is our sum of products states fully antisymmetric

$$\Psi = \frac{1}{\sqrt{N!}} \sum_{\mathcal{P}} (-1)^{P_{\mathcal{P}}} \Psi_1(1') \Psi_2(2') \dots \Psi_N(N')$$

$\mathcal{P}$

$\mathcal{P}$  is a particular permutation operator

which the additional  $\Psi_1(1') \dots \Psi_N(N')$  product being defined were

$P_{\mathcal{P}}$  is the parity of the permutation

$$P_{\mathcal{P}} = \begin{cases} 0 & \text{for even} \\ 1 & \text{for odd.} \end{cases}$$

Consider a particular term

~~$$\Psi_1(1') \Psi_2(2') \dots \Psi_l(l')$$~~

$$(-1)^{P_{\mathcal{P}}}$$

$$\Psi_i(k') \dots \Psi_j(l')$$



if we exchange these particles

we get another

permutation, ~~and~~  
~~the one~~

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One which a different  
parity.

If we exchange particles  $i$  and  $k$   
in all terms,  
we've created  
an overall sign change.

Examples might help here.

Ex 1 2 particles, 2 single particle  
states

$$\psi = \frac{1}{\sqrt{2}} [\psi_1(1) \psi_2(2) - \psi_1(2) \psi_1(1)]$$

↳ exchange

$$\begin{aligned} \psi_{ex} &= \frac{1}{\sqrt{2}} [\psi_1(2) \psi_1(1) - \psi_2(1) \psi_2(2)] \\ &= -\psi \end{aligned}$$



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Ex 2     3-particles and 3-states

This is tedious

↙ fiducial product state

$$\Psi = \frac{1}{\sqrt{3!}} \left[ \Psi_1(1') \Psi_2(2') \Psi_3(3') \right.$$

$$- \Psi_1(2') \Psi_2(1') \Psi_3(3')$$

$$+ \Psi_1(2') \Psi_2(3') \Psi_3(1')$$

~~$$- \Psi_1(3') \Psi_2(2') \Psi_3(1')$$~~

$$+ \Psi_1(3') \Psi_2(1') \Psi_3(2')$$

$$- \Psi_1(1') \Psi_2(3') \Psi_3(2') \left. \right]$$

+ve parity ones are cyclic  
-ve ones are anti-cyclic

Say we exchange  $1' \leftrightarrow 2'$

$$\Psi_{ex} = \frac{1}{\sqrt{3!}} \left[ \Psi_1(2') \Psi_2(1') \Psi_3(3') \right.$$

$$- \Psi_1(1') \Psi_2(2') \Psi_3(3')$$

$$+ \Psi_1(1') \Psi_2(3') \Psi_3(1')$$

$$- \Psi_1(3') \Psi_2(1') \Psi_3(2')$$

$$+ \Psi_1(3') \Psi_2(2') \Psi_3(1')$$

$$- \Psi_1(2') \Psi_2(3') \Psi_3(1') \left. \right]$$

= -Ψ

So yes,

We can believe in general

$$\Psi = \sum_P (-1)^{P_p} \Psi_1(1') \dots \Psi_N(N')$$

has the right exchange properties. (i.e., it is antisymmetric)

Does it obey the Pauli exchange Principle?

Say  $i \neq j$   
 ~~$\Psi_i(j')$~~   
 Say coincident  
 ~~$i = j$~~   
 (In some sense we see that it should already maybe)

~~$\Psi_i(i')$~~   
 ~~$\Psi_j(j')$~~

In the  $N!$  permutations there must be a pair of terms which differ only in having  $i$  and  $j$  exchanged

~~$\dots \Psi_k(i') \dots \Psi_l(j') \dots$~~

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say we exchange  $i' \leftrightarrow j'$

$$\Psi_{ex} = -\Psi$$

but say  $i' = j'$

$$\text{then } \Psi_{ex} = \Psi$$

Only consistent when  $\Psi = 0$

To be more concrete, say we pick

~~term~~ a general term (every term must have  $i'$  and  $j'$  somewhere)

$$(-1)^{p_a} \dots \Psi_k(i') \dots \Psi_l(j') \dots$$

$k$  and  $l$  are general states.

Out of  $N_i! - 1$  possibilities, there must be a term exactly alike but with  $i'$  and  $j'$  exchange

$$(-1)^{p_b} \dots \Psi_k(j') \dots \Psi_l(i') \dots$$

Must have opposite signs



If  $i' \neq j'$ , these terms differ only by sign, and so cancel.

So all terms cancel pairwise.

So the Pauli exclusion principle in the position-spin sense is satisfied.

Exchange force or anticommuting case.

What about in the single-particle state sense (other than space-spin)?

Will say two states <sup>original</sup> included in the product state were identical.

Any term in sum must have  $\psi_k$  &  $\psi_l$  in it.

$(-1)^{p_{ij}}$   
 $\psi_k(i')$  ...  $\psi_l(j')$  ...

$k=l$  actually.

If we picked any term at random ~~with~~ with general  $i', j'$ , then out of the  $N! - 1$  other

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terms there is one with

$$(-1)^{\dots} \psi_k(i') \dots \psi_l(i') \dots$$



this has a different sign,

but since  $k = l$ ,

the two terms cancel pairwise.

So all terms cancel pairwise.

The antisymmetric state

is ~~exactly zero~~ identically zero,  
and so not a physical state.

So the Pauli Exclusion principle  
is satisfied in **Both** senses.

At this point you

may ask how we could

systematically create  
a symmetrized state.

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Actually the process is exactly  
that of finding the determinant  
of matrix.

$$\det \begin{vmatrix} A_{11} & A_{12} & A_{13} \\ A_{21} & A_{22} & A_{23} \\ A_{31} & A_{32} & A_{33} \end{vmatrix}$$

$$\det(A^T) = \det(A) \quad (i, k)$$

In our case particle label  
can be taken as column index  
~~label~~

and single-particle state  
label as  
row index.

Or vice versa since



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$$\Psi = \frac{1}{\sqrt{N!}} \begin{vmatrix} \Psi_1(1') & \Psi_2(1') & \Psi_3(1') & \dots & \Psi_N(1') \\ \Psi_1(2') & \Psi_2(2') & \Psi_3(2') & \dots & \Psi_N(2') \\ \Psi_1(3') & \Psi_2(3') & \Psi_3(3') & & \vdots \\ \Psi_1(4') & \dots & & & \vdots \\ \vdots & & & & \vdots \\ \Psi_1(N') & \dots & \dots & \dots & \Psi_N(N') \end{vmatrix}$$

(CT-1390)

One can evaluate  
~~systematically~~ systematically by  
Laplace expansion  
(Wik)  
or some other means.

What of bosons?

If all the single particle  
states are same

# Normalization & orthogonality

$$|\Psi|^2 = \frac{1}{N!} \sum_{\phi} \sum_{\phi'}$$

a double sum which  
awfully complex,  
in general.

~~$\int |\Psi|^2$~~  and has  
terms like

$$(-1)^{q_a} (-1)^{q_b} \Psi_1(i_1) \Psi_2(i_2) \dots \Psi_N(i_N) \Psi_1(j_1) \Psi_2(j_2) \dots \Psi_N(j_N)$$

Now normalization.

$$1 = \int |\Psi|^2 d1' d2' d3' \dots dN'$$

↑ ↑ ↑  
stand for space-spin

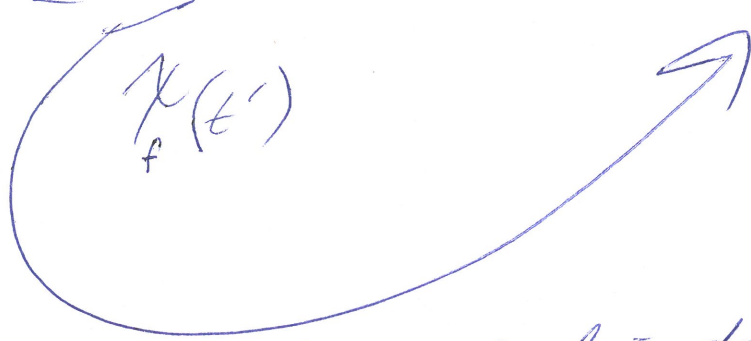
integration ~~summation~~  
reunited

spinor  
formalism  
takes  
care of  
sum over  
spin states.

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Well considered

$$(-1)^{pp} \psi_1^*(i') \psi_2^*(j') \dots \psi_N^*(k') \psi_1(l') \psi_2(m') \dots \psi_N(n')$$



$\psi_f(k')$

$\psi_f$  in the first factor  
meets up with a  $\psi_f$   
in the second factor

$$\delta_{fg} = \int \psi_f^*(j') \psi_g(j') dj$$

since we assume the single-particle states are all orthonormal.

Any term in the double sum which is not a direct term (i.e., a cross term) vanishes because at least two single-particle states



are orthogonal in the  $\boxed{5-219}$   
integration for normalizations.

So only the direct terms  
contribute

$$\underbrace{(-1)^{\frac{p(p-1)}{2}} \psi_1(i') \psi_2(j') \dots \psi_N(k') \psi_1(i') \psi_2(j') \dots \psi_N(k')}_{\substack{\text{in} \\ 1}}$$

$$\int di' dj' dk' \\ = 1 * 1 * \dots * 1 = 1$$

$$\int |\Psi|^2 = \frac{1}{N!} \sum_p 1 = \frac{N!}{N!} = 1$$

$$\text{So } \Psi = \frac{1}{\sqrt{N!}} \sum_p (-1)^{\frac{p(p-1)}{2}} \psi_1(i') \dots \psi_N(k')$$

is correctly Normalized  
by  $\frac{1}{\sqrt{N!}}$

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What if had two symmetrized states for ~~that~~  $N$  particles that differed by ~~a single~~ one single-particle state

$$\Psi_2 =$$

$$\Psi_1 = \frac{1}{\sqrt{N!}} \sum_p (-1)^{p_p} \psi_1(1') \dots \psi_2(l') \dots \psi_N(N')$$

$$\Psi_2 = \frac{1}{\sqrt{N!}} \sum_p (-1)^{p_p} \psi_2(1') \dots \psi_1(l') \dots \psi_N(N')$$

$\Psi_1 \neq \Psi_2$  but they are both orthonormal.

$$\langle \Psi_1 | \Psi_2 \rangle = \int \Psi_1^* \Psi_2 d1' \dots dN'$$

In ~~any~~ every term in this product we'll have ~~where~~  $\int \psi_2(i')^* \psi_1(i') di' = 0$